



RatnerPrestia

WE SPECIALIZE IN THE LAW OF CREATIVITY®

Suite 301, One Westlakes, Berwyn
 P.O. Box 980
 Valley Forge, PA 19482-0980
 Phone: 610-407-0700
 Fax: 610-407-0701

Nemours Building
 1007 Orange Street, Suite 1100
 P.O. Box 1596
 Wilmington, DE 19899
 Phone: 302-778-2500
 Fax: 302-778-2600
 www.ratnerprestia.com

Suite 265
 Commerce Corporate Center
 5100 Tilghman Street
 Allentown, PA 18104
 Phone: 610-530-8100
 Fax: 610-530-8200

FAX FILING IN U.S. PATENT & TRADEMARK OFFICE

DATE: August 24, 2004

TIME: _____

TO:	Filing Receipt Corrections	FAX NO.:	1-703-746-9195
FROM:	Kenneth N. Nigon	ADMIN. ASST.:	Tonya M. Berger
APPLN. NO.:	10/776,822	ATTY. DOCKET NO.:	NSG-229US
TITLE OF APPLN.: END SURFACE LIGHT-EMITTING ELEMENT HAVING INCREASED EXTERNAL LIGHT EMISSION EFFICIENCY AND SELF-SCANNING LIGHT-EMITTING ELEMENT ARRAY USING THE SAME			
FILING DATE:	02/11/2004	ART UNIT:	2815
FIRST INVENTOR:	Takashi Tagami et al.	CONF. NO.:	3383
TITLE OF DOCUMENT (and List of Attachments): Transmittal, Request for Corrected Filing Receipt and Red lined Filing Receipt			

Total Number of Pages: 6 (including this form)

COMMENTS

CONFIDENTIAL AND PRIVILEGED ATTORNEY/CLIENT INFORMATION

This facsimile transmission (and/or documents accompanying it) may contain attorney/client privileged communications and confidential business information that is intended for use only by the individual or company to whom it is addressed. Disclosure, interception, copying or any other use of this transmission by anyone other than any intended recipient is prohibited. If you receive this transmission by mistake, please notify the sender.

Please notify us immediately if you have not received the number of pages indicated above.

PTO/SB/21 (04-04) (AW 08/2004)

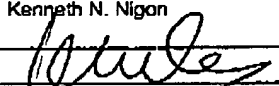
Approved for use through 7/31/2008. OMB 0651-0031

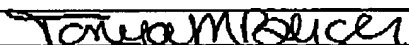
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/776,822
	Filing Date	February 11, 2004
Total Number of Pages in This Submission 5	First Named Inventor	Takashi Tagami et al.
	Art Unit	2815
	Examiner Name	Jerome Jackson, Jr.
	Attorney Docket No.	NSG-228US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt and red lined copy of Filing Receipt
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual Name	Kenneth N. Nigon	Registration No. (Attorney/Agent)	31,549
Signature			
Date	August 24, 2004		

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Tonya M. Berger		
Signature		Date	August 24, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NSG-229US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No: 10/776,822
 Applicant: Takashi Tagami et al.
 Filed: February 11, 2004
 Title: END SURFACE LIGHT-EMITTING ELEMENT HAVING INCREASED
 EXTERNAL LIGHT EMISSION EFFICIENCY AND SELF-SCANNING LIGHT-
 EMITTING ELEMENT ARRAY USING THE SAME
 TC/A.U.: 2815
 Examiner: Jerome Jackson, Jr.
 Confirmation No.: 3383
 Docket No.: NSG-229US

REQUEST FOR CORRECTED FILING RECEIPT

Filing Receipt Corrections
Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data:

- Incorrectly entered
and/or
- omitted

- | <i>Error in</i> | <i>Correct data</i> |
|---|---------------------|
| 1. <input type="checkbox"/> Applicant's name | 1. |
| 2. <input type="checkbox"/> Applicant's address | 2. |
| 3. <input type="checkbox"/> Title | 3. |
| 4. <input type="checkbox"/> Filing Date | 4. |
| 5. <input type="checkbox"/> Serial Number | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application | 6. |

Reference

- Other
 - This application is a DIV of 09/762,520
 02/08/2001 PAT 6,717,182 which is a CIP of
 09/043,106 10/14/1999 PAT 6,164,350

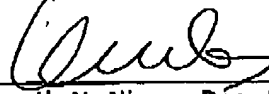
NSG-229US

PATENT

3. *No fee is due.*

RATNER AND PRESTIA
Suite 301, One Westlakes, Berwyn
P. O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

Respectfully submitted,

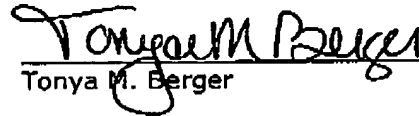


Kenneth N. Nigon, Reg. No. 31,549

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being filed with the U.S. Patent and Trademark Office via Facsimile Transmission to Facsimile No. 1-703-746-9195 addressed to the: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: August 24, 2004



Tonya M. Berger

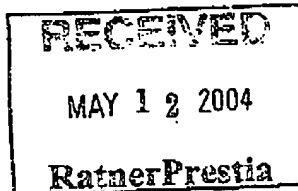


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1459
 Alexandria, Virginia 22313-1459
 www.uspto.gov

APPL NO.	FILING OR 371 (e) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/776,822	02/11/2004	2815	1060	NSG-229US	8	8	1

23122
 RATNERPRESTIA
 P O BOX 980
 VALLEY FORGE, PA 19482-0980



CONFIRMATION NO. 3383

FILING RECEIPT

OC000000012580159

Date Mailed: 05/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takashi Tagami, Osaka-shi, JAPAN;
 Yukihisa Kusuda, Osaka-shi, JAPAN;
 Seiji Ohno, Osaka-shi, JAPAN;
 Nobuyuki Komaba, Osaka-shi, JAPAN;

Domestic Priority data as claimed by applicant

09/03, 106
 This application is a DIV of 09/762,520 02/08/2001 PAT 6,717,182
 which is a CIP of 09/403,106 10/14/1999 PAT 6,164,350 *
 (*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

JAPAN 11-160,458 06/08/1999
 JAPAN 11-227,134 08/11/1999

If Required, Foreign Filing License Granted: 05/08/2004

Projected Publication Date: 08/19/2004

Non-Publication Request: No

Early Publication Request: No

Title

End surface light-emitting element having increased external light emission efficiency and self-scanning light-emitting element array using the same

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).